# Satisfiability of circuits and equations in algebras

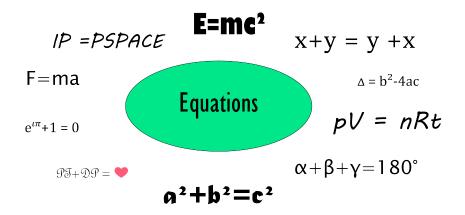
Piotr Kawałek

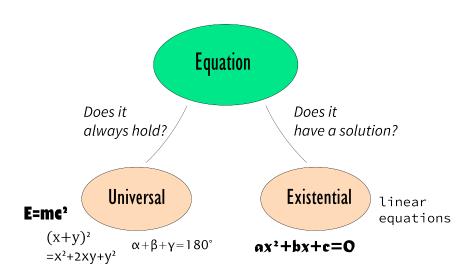
TU Wien

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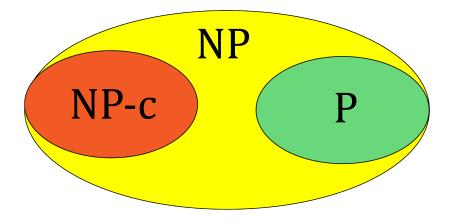
**Example 1.** Systems of linear equations over  $(\mathbb{Q}, +, -, 0, 1)$ 

 $\begin{cases} 3x + 2y = 1 \\ 2x + 3y = 2 \end{cases}$ Method: Gaussian Elimination Algorithm Time:  $O(n^3)$  fast

## The problem is in P.

**Example 2.** Single equation in finite fields  $(\mathbb{F}_q, +, -, \cdot, 0, 1)$   $(x - y) \cdot z + t = 3$  **Method:** Brute-Force **Time:**  $O(q^n)$  very slow

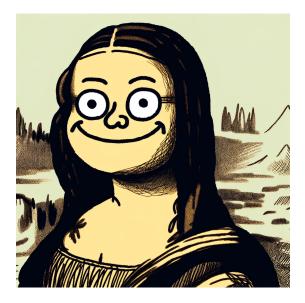
The problem is NP-complete

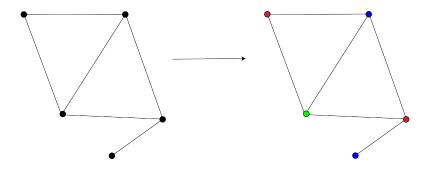


Strategy to prove that some algorithmic problem  ${\bf B}$  is hard to solve:

- **1** Take an NP-complete problem **A**.
- Show that you can solve A quickly by using B.
- **③** Then **B** is also NP-complete, so **B** is hard to solve assuming  $P \neq NP$ .

# It is hard to paint





*k*-coloring is NP-complete for  $k \ge 3$ .

**Task:** given a Boolean formula in 3-CNF form, find a satisfying assignment to the variables.

**Example:**  $(x_1 \lor x_2 \lor \sim x_3) \land (\sim x_2 \lor \sim x_3) \land (x_1 \lor x_3).$ 

**Solution:**  $x_1 = 1$ ,  $x_2 = 0$ ,  $x_3 = 0$ .

3-SAT is NP-complete

**Example 1.** Systems of linear equations over  $(\mathbb{Q}, +, -, 0, 1)$ 

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The problem is NP-complete

# Single equation in finite fields

Field:  $(\mathbb{F}_q, +, -, \cdot, 0, 1)$ ,  $q \ge 3$ 

**q-coloring instance:** graph with vertices  $V = [n] = \{1, ..., n\}$ and edges  $e^{(1)}, ..., e^{(l)} \in V^2$  (let *E* denote set of all edges)

**Looking for:** a coloring  $c : V \mapsto [q]$  such that  $c(e_1^{(i)}) \neq c(e_2^{(i)})$ . for all  $0 \leq i \leq l$ .

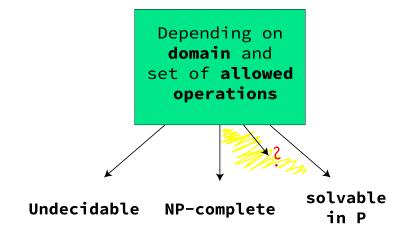
**Encoding as an equation:** For each vertex *i* create variable *c<sub>i</sub>*.

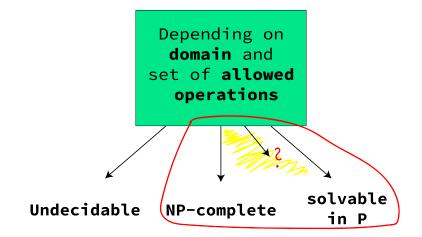
$$\prod_{(i,j)\in E} (c_i - c_j)^{q-1} = 1$$

So if we could solve equations in the field  $\mathbb{F}_q$  quickly we could solve *q*-coloring quickly! Then, solving equations in  $\mathbb{F}_q$  is NP-complete.

(Matiyasevich 1970)

**Example 3.** Diophantine equations over  $(\mathbb{Z}, +, -, \cdot, 0, 1)$   $a^5 + b^5 = c^5$  The problem is undecidable. **Method:** No general method **Time:**  $\infty$  (no algorithmic solution)





# $SysPolSat(\mathbf{A})$

 $\mathbf{A} = (A, \mathcal{F})$  *A* - **finite** domain  $\mathcal{F} \text{ - list of allowed operations}$  **Problem** IN: (**p**<sub>1</sub>, **q**<sub>1</sub>), . . . , (**p**<sub>m</sub>, **q**<sub>m</sub>) OUT:  $\exists_{\overline{X}} \&_i \mathbf{p}_i(\overline{x}) = \mathbf{q}_i(\overline{x})$ 

## $POLSAT(\mathbf{A})$

 $\mathbf{A} = (A, \mathcal{F})$  A - finite domain  $\mathcal{F} \text{ - list of allowed operations}$  **Problem** IN:  $\mathbf{p}, \mathbf{q}$ OUT:  $\exists_{\overline{x}} \mathbf{p}(\overline{x}) = \mathbf{q}(\overline{x})$ 

As the domain is finite, at least we have a brute-force search algorithm.

**Goal:** Describe finite algebraic structures  $\mathbf{A} = (A, \mathcal{F})$  for which  $\operatorname{SysPolSat}(\mathbf{A}) / \operatorname{PolSat}(\mathbf{A})$  has a polynomial-time solution.

**Surprise:** For  $SysPolSat(\mathbf{A})$  we already have such (complicated) description! It is due to the recent results of Zhuk (2017) and Bulatov (2017) about CSPs.

**Dychotomy:** SYSPOLSAT(**A**) is either in P (has a fast algorithmic solutions) or is NP-complete (we can reduce k-coloring to it). So the only reason for hardness here is NP-completness.

**Question:** Is it the same for POLSAT?

## $POLSAT(\mathbf{A})$

Problem

IN:  $\mathbf{p}, \mathbf{q}$ OUT:  $\exists_{\overline{x}} \mathbf{p}(\overline{x}) = \mathbf{q}(\overline{x})$ 

Signature: 
$$\mathbf{G} = (G, \cdot, \mathbf{-1})$$

**Equations:** 

When  ${\boldsymbol{\mathsf{G}}}$  is abelian it decomposes into a direct product

$$\mathbf{G} \equiv \prod_{i=1}^{k} \mathbb{Z}_{p_i^{k_i}}$$

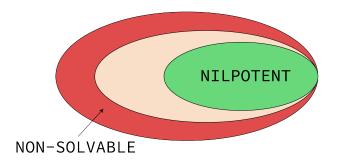
So we can solve equation separately on each coordinate  $\mathbb{Z}_{p_i^{k_i}}$ . But for  $\mathbf{G} = \mathbb{Z}_{p^k}$  we rewrite the equation to the form:

$$\sum_{i=1}^{n} \alpha_i x_i = c$$

And now there is a solution iff  $gcd(\alpha_1, \ldots, \alpha_i)|c$ .

## Goldmann, Russell, I&C 2002

If **G** is a finite nilpotent group then  $\operatorname{POLSAT}(G) \in P$ . If **G** is a finite non-solvable group then  $\operatorname{POLSAT}(G)$  is NP-complete.



#### Nilpotent sequence

$$egin{array}{lll} \mathbf{G}^{(0)} = \mathbf{G} \ \mathbf{G}^{(k+1)} = [\mathbf{G}^{(k)}, \mathbf{G}] \end{array}$$

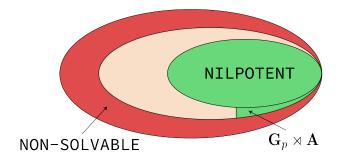
**G** is *k*-nilpotent whenever *k* is minimal such that  $\mathbf{G}^{(k)} = \{\mathbf{1}_{\mathbf{G}}\}$ . Solvable sequence

$$G^{[0]} = G$$
  
 $G^{[k+1]} = [G^{[k]}, G^{[k]}]$ 

**G** is k-solvable whenever k is minimal such that  $\mathbf{G}^{[k]} = \{\mathbf{1}_{\mathbf{G}}\}$ .

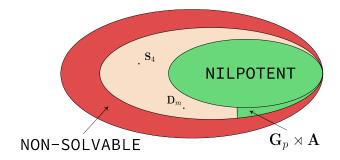
## Horváth, Földvári, IJAC 2019

If  $\mathbf{G}_p$  is a *p*-group and  $\mathbf{A}$  is an abelian group, then any semidirect product  $\mathbf{G}_p \rtimes \mathbf{A}$  has POLSAT in P.

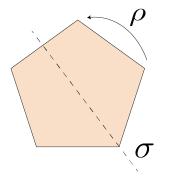


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# $D_m$ - group of symmetries of regular *m*-gon



2m symmetries:

- *m* rotations
- *m* reflections

### Idziak, PK, Krzaczkowski, Weiß, ICALP 2022

POLSAT( $\mathbf{D}_m$ ) has a probabilistic polynomial-time algorithm iff m has at most one odd prime divisor assuming rETH.

## Exponential Time Hypothesis (ETH)

Any algorithm solving 3-SAT requires time at least  $2^{\Omega(n)}$ .

**Note:** ETH is a stronger version of  $P \neq NP$ .

**Note:** current best algorithm for 3-SAT has complexity  $O(1.321^n)$  (Hertli, Moser, Scheder 2011)

## Idziak, PK, Krzaczkowski, Weiß, ICALP 2022

POLSAT( $\mathbf{D}_m$ ) has a probabilistic polynomial-time algorithm iff m has at most one odd prime divisor assuming rETH.

**Normal strategy:** to prove that problem *B* is NP-hard, show a **polynomial-time** reduction from 3-SAT to *B*.

**Different strategy:** to prove that problem *B* is not in P, show a **subexponential-time** reduction from 3-SAT to *B*.

**Here:** if *r* is the number of odd prime divisors of *m*, we do a  $2^{O(n^{1/r} \log n)}$  time reduction from 3-SAT to  $POLSAT(\mathbf{D}_m)$ . So we can't have  $n^{o((\log n)^{r-1}/\log \log n)}$  algorithm for  $POLSAT(\mathbf{D}_m)$ , or we would contradict ETH.

**Note:** the positive side  $m = 2^{\alpha} \cdot p^{\beta}$  requires constructing a polynomial-time algorithm.

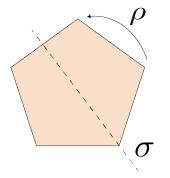
**Algorithm:** Assign random values to variables, check if the random assignment is a solution to the equation. Repeat poly(I) times, where I is the length of the equation.

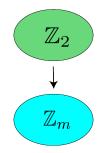
### Idziak, PK, Krzaczkowski, Weiß, ICALP 2022

If a finite group **G** has a normal *p*-subgroup  $\mathbf{G}_p$ , such that  $\mathbf{G}/\mathbf{G}_p$  is nilpotent then, assuming CDH, POLSAT(**G**) has a probabilistic polynomial-time algorithm.

**Note:** If  $\mathbf{G}/\mathbf{G}_p$  is abelian we do not need CDH (Constant Degree Hyphothesis).

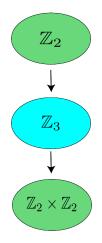
# $D_m$ - group of symmetries of regular *m*-gon





# $S_4$ - group of permutations of 4-element set





### Idziak, PK, Krzaczkowski, LICS 2020

POLSAT( $S_4$ ) can not be solved faster than  $n^{o(\log n)}$ , assuming ETH.

**Reason:** the diagram for  $S_4$  has height 3.

How to formalize it? We say that the group **G** has a nipotent rank  $nr(\mathbf{G}) = h$ , if *h* is the smallest number such that there is a sequence of normal subgroups of **G**, such that  $\{1_{\mathbf{G}}\} = \mathbf{G}_0, \mathbf{G}_1, \dots, \mathbf{G}_h = \mathbf{G}$  and each  $\mathbf{G}_i/\mathbf{G}_{i-1}$  is nilpotent.

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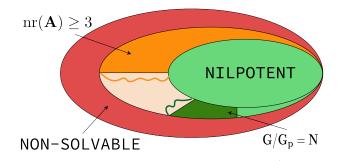
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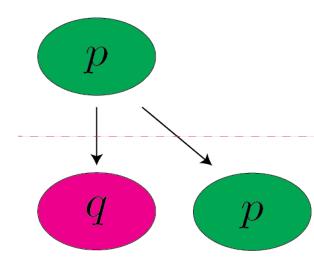
### Idziak, PK, Krzaczkowski, Weiß, TOCS 2022

Assuming ETH, for a group  ${\bm G}$  with a nilpotent rank  $nr({\bm G}) \geqslant 3$  the problem  ${\rm POLSAT}({\bm G})$  has no polynomial-time solution.

Note: it can be generalized to structures beyond groups.

So the only unresolved groups G, are the groups of nilpotent rank 2, i.e. they have nilpotent normal subgroup H such that G/H is also nilpotent.



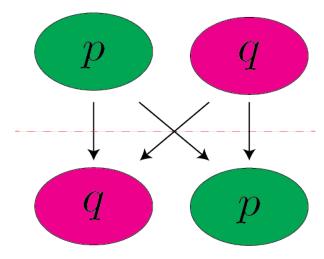


Example 
$$(p = 2)$$
:  
 $\mathbb{D}_{2^{\alpha}q^{\beta}}$ 

### Algorithm:

Take some random assignments.

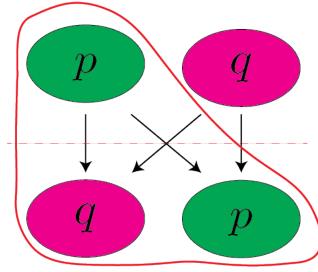
# Looking for other poly-time groups



**Example**: 
$$\mathbb{Z}_{pq} \wr \mathbb{Z}_{pq}$$

## Algorithm: Take some random assignments.

# Looking for other poly-time groups

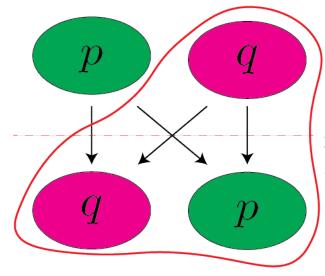


**Example**:  $\mathbb{Z}_{pq} \wr \mathbb{Z}_{pq}$ 

### Algorithm:

Take some random assignments.

# Looking for other poly-time groups



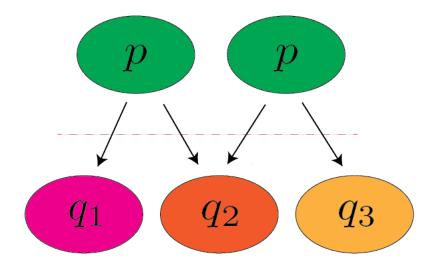
**Example**:  $\mathbb{Z}_{pq} \wr \mathbb{Z}_{pq}$ 

# Algorithm: Take some random assignments.

## Idziak, PK, Krzaczkowski, Weiß, ICALP 2022

Let **G** be a finite group with  $nr(\mathbf{G}) = 2$  such that  $|\mathbf{G}|$  has two prime divisors. Then  $POLSAT(\mathbf{G})$  is solvable in polynomial time.

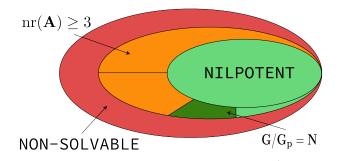
It does not work with 3 primes anymore!



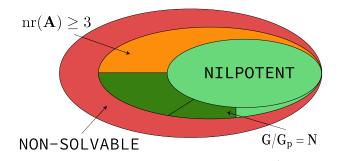
POLSAT(G) is problematic... But...

LISTPOLSAT(**G**) - we get an equation  $\mathbf{p}(x_1, \ldots, x_n) = \mathbf{q}(x_1, \ldots, x_n)$ , but we also have additional conditions on variables, i.e. for each variable  $x_i$  we get a list  $L_i \subseteq G$ of allowed values, and we want a solution such that  $x_i \in L_i$ 

 $POLEQV(\mathbf{G})$  - we get an equation  $\mathbf{p}(x_1, \ldots, x_n) = \mathbf{q}(x_1, \ldots, x_n)$ and we want to check that it is an identity, i.e. it is satisfied for all  $(x_1, x_2, \ldots, x_n) \in \mathbf{G}^n$ 



# PolEqv



# Fakt (Horváth, Szabó, JP&AA 2012)

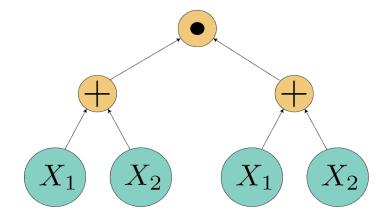
For alternating group  $A_4$ :

- $\operatorname{POLSAT}(A_4; \cdot, {}^{-1})$  is in P,
- POLSAT $(\mathbf{A}_4; \cdot, {}^{-1}, [x, y])$  is NP-complete.

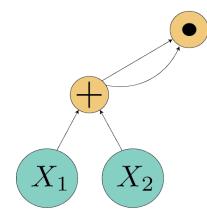
Writing  $[x_1, [x_2, [x_3, ..., [x_{n-1}, x_n]...]$  with pute group operations requires exponential size in terms of *n*, but using commutator [x, y] we can do it efficiently (as we can see).

We cannot expect a general classification based on the algebraic properties of a structure! Solution: use circuits instead of terms to represent polynomials.

# $(x_1 + x_2) \cdot (x_1 + x_2)$ - term representation



# $(x_1 + x_2) \cdot (x_1 + x_2)$ - circuit representation



## Goldmann, Russell, I&C 2002; Horváth, Szabó, DM&TCS 2011

For a finite group **G** the problem  $CSAT(\mathbf{G}) \in P$ if **G** is nilpotent. Otherwise  $CSAT(\mathbf{G})$  is NP-complete.

Goldmann, Russell, *I&C 2002*; Horváth, *Alg. Univ. 2011* 

For a finite ring **R** the problem  $CSAT(\mathbf{R}) \in P$ if **R** is nilpotent. Otherwise  $CSAT(\mathbf{R})$  is NP-complete.

#### Schwarz, STACS 2004

For a finite lattice L the problem  $CSAT(L) \in P$ if L is distributive. Otherwise CSAT(L) is NP-complete.

## Idziak, Krzaczkowski, LICS 2018

For a finite algebra **A** from a Congruence Modular (CM) variety one of the two conditions holds.

- $CSAT(\mathbf{A}/\alpha)$  is NP-complete, for some congruence  $\alpha$  of  $\mathbf{A}$ .
- CSAT(A) decomposes into a direct product DL-like × nilpotent

Nilpotent algebras are far more complex than nilpotent groups. For instance they do not decompose into a product of algebras of prime power size.

## Idziak, Krzaczkowski LICS 2018; Kompatscher, IJAC 2018

If a finite algebra **A** from CM is not only nilpotent, but also supernilpotent, then  $CSAT(\mathbf{A}) \in P$ .

A measure of complexity of a group was nilpotent rank.

For general algebras the better measure is a supernilpotent rank.

## Kompatscher, 2020

For a finite nilpotent algebra **A** from CM of supernilpotent rank  $h \ge 3$  the problem  $\operatorname{CSAT}(\mathbf{A})$  cannot be solved faster than  $n^{o(\log^{h-2} n)}$ .

## Idziak, PK, Krzaczkowski, 2023

If a finite algebra **A** from CM has a supernilpotent congrunce  $\alpha$  with classes of size  $p^{\alpha}$ , such that  $\mathbf{A}/\alpha$  is supernilpotent then, assuming CDH,

 $\mathrm{CSAT}(\boldsymbol{\mathsf{A}})$  has a probabilistic polynomial-time algorihm.

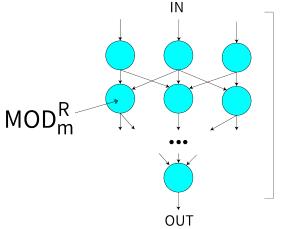
#### Idziak, PK, Krzaczkowski, Weiß, ICALP 2022

If a finite group **G** has a normal *p*-subgroup  $\mathbf{G}_p$ , such that  $\mathbf{G}/\mathbf{G}_p$  is nilpotent then, assuming CDH, POLSAT(**G**) has a probabilistic polynomial-time algorithm.

That being said, for CSat there is no Two Primes Theorem. So although the combinatorics are similar, there are differences...

# What is this CDH?

To understand CDH we first need to understand what is  $CC_h[m]$  circuit. These circuits represent Boolean functions  $\{0,1\}^n \longmapsto \{0,1\}$ 



h poziomów

**Spooky sentence:**  $CC_h[m]$  circuits are quite good at simulating circuits over algebras of supernilpotent rank h. They are also quite good at simulating polynomials over groups of nilpotent rank h. Here m corresponds to the size of algebra/group.

**Exponential Size Hyphothesis:** for fixed  $h, m, CC_h[m]$  circuits need size  $\Omega(2^{n^c})$  to represent AND<sub>n</sub>, for some constant c depending on h, m.

**Fun fact:** if the hyphothesis is true, then we get algorithms for POLSAT over solvable groups of quasipolynomial time complexity  $2^{(\log n)^d}$ . We also get similar algorithm for CSAT over nilpotent algebras.

# **Constant Degree Hypothesis**: Any 3-level $MOD_{p} \circ MOD_{m} \circ AND_{d}$ circuit requires size $2^{\Omega(n)}$ to compute $AND_{n}$ .

#### Idziak, PK, Krzaczkowski, 2023

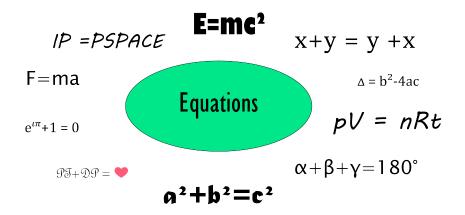
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